

08/27/99

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PTO/SB/05 (12/97)

Approved for use through 09/30/00 OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Attorney Docket No.

005252.P027C

Total Pages

77

(all documents)

First Named Inventor or Application Identifier

IGGULDEN, ET AL.

Express Mail Label No.

EM560887697US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents

Box Patent Application

Washington, DC 20231

1. ☒ **Fee Transmittal Form**
(Submit an original, and a duplicate for fee processing)

2. ☒ **Specification** *Total Pages*
(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D

- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)

- Detailed Description
- Claims
- Abstract of the Disclosure

3. ☒ **Drawing(s)** (35 USC 113) *Total Sheets*
4. ☒ **Oath or Declaration** *Total Pages*
a. ☐ Newly executed (original or copy)
b. ☒ Copy from prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)

(Note Box 5 below)

- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in prior application,
see 37 CFR 1.63(d)(2) and 1.33 (b).

5. ☐ **Incorporation By Reference** (usable if Box 4b is checked)
The entire disclosure of the prior application, from which
a copy of the oath or declaration is supplied under Box
4b, is considered as being part of the disclosure of the
accompanying application and is hereby incorporated
by reference therein.

6. ☐ **Microfiche Computer Program (Appendix)**

7. ☐ **Nucleotide &/or Amino Acid Sequence Submission**
(if applicable, all necessary)

- a. ☐ Computer Readable Copy
b. ☐ Paper Copy (identical to computer copy)
c. ☐ Statement verifying identity of above
copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) ☐ Power of Attorney
Statement
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity ☐ Statement filed in prior app.
Statement(s) Status still proper and
desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application no: 08/573,433

18. CORRESPONDENCE ADDRESS

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FEE TRANSMITTAL Note: Effective October 1, 1997 Patent fees are subject to annual revision		Complete If Known	
		Application Number	NOT ASSIGNED
		Filing Date	08/27/1999
		First Named Inventor	IGGULDEN, ET AL.
		Group Art Unit	NOT ASSIGNED
		Examiner Name	NOT ASSIGNED
TOTAL AMOUNT OF PAYMENT		Attorney Docket Number	005252.P027C

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																																																																								
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees & credit any overpayments to: Acct # 02-2666 Acct Name Blakely Sokoloff Taylor & Zafman LLP <input checked="" type="checkbox"/> Charge any add'l fee required under 37 CFR 1.16 & 1.17 <input type="checkbox"/> Charge issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance 2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other	3. Additional Fees <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Code</th> <th>Fee (\$)</th> <th>Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge-late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge-late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2520</td><td>147</td><td>2520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1840*</td><td>113</td><td>1840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within 1st month</td><td></td></tr> <tr><td>116</td><td>380</td><td>216</td><td>190</td><td>Extension for reply within 2nd month</td><td></td></tr> <tr><td>117</td><td>870</td><td>217</td><td>435</td><td>Extension for reply within 3rd month</td><td></td></tr> <tr><td>118</td><td>1360</td><td>218</td><td>680</td><td>Extension for reply within 4th month</td><td></td></tr> <tr><td>128</td><td>1850</td><td>228</td><td>925</td><td>Extension for reply within 5th month</td><td></td></tr> <tr><td>119</td><td>300</td><td>219</td><td>150</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>300</td><td>220</td><td>150</td><td>Filing a brief in support of appeal</td><td></td></tr> <tr><td>121</td><td>260</td><td>221</td><td>130</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1510</td><td>138</td><td>1510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to Revive-unavoidable</td><td></td></tr> <tr><td>141</td><td>1210</td><td>241</td><td>605</td><td>Petition to Revive-unintentional</td><td></td></tr> <tr><td>142</td><td>1210</td><td>242</td><td>605</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>430</td><td>243</td><td>215</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>580</td><td>244</td><td>290</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of IDS</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property</td><td></td></tr> <tr><td>146</td><td>760</td><td>246</td><td>380</td><td>Filing a submission after final rejection</td><td></td></tr> <tr><td>149</td><td>760</td><td>249</td><td>380</td><td>For each add'l invention to be examined</td><td></td></tr> <tr><td colspan="5">Other fee (specify) _____</td><td></td></tr> <tr><td colspan="5">Other fee (specify) _____</td><td></td></tr> <tr> <td colspan="4"> Subtotal (1) (\$760.00) </td> <td colspan="2"></td> </tr> </tbody> </table>	Large Entity		Small Entity		Fee Description	Fee Paid	Code	Fee (\$)	Code	Fee (\$)	105	130	205	65	Surcharge-late filing fee or oath		127	50	227	25	Surcharge-late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		147	2520	147	2520	For filing a request for reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1840*	113	1840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within 1st month		116	380	216	190	Extension for reply within 2nd month		117	870	217	435	Extension for reply within 3rd month		118	1360	218	680	Extension for reply within 4th month		128	1850	228	925	Extension for reply within 5th month		119	300	219	150	Notice of Appeal		120	300	220	150	Filing a brief in support of appeal		121	260	221	130	Request for oral hearing		138	1510	138	1510	Petition to institute a public use proceeding		140	110	240	55	Petition to Revive-unavoidable		141	1210	241	605	Petition to Revive-unintentional		142	1210	242	605	Utility issue fee (or reissue)		143	430	243	215	Design issue fee		144	580	244	290	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	240	126	240	Submission of IDS		581	40	581	40	Recording each patent assignment per property		146	760	246	380	Filing a submission after final rejection		149	760	249	380	For each add'l invention to be examined		Other fee (specify) _____						Other fee (specify) _____						Subtotal (1) (\$760.00)					
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